

Exact wave functions for an electron on a graphene triangular quantum dotA. V. Rozhkov^{1,2} and Franco Nori^{2,3}¹*Institute for Theoretical and Applied Electrodynamics, Russian Academy of Sciences, 125412 Moscow, Russia*²*Advanced Science Institute, The Institute of Physical and Chemical Research (RIKEN), Wako-shi, Saitama 351-0198, Japan*³*Department of Physics, The University of Michigan, Ann Arbor, Michigan 48109-1040, USA*

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We generalize the known solution of the Schrödinger equation, describing a particle confined to a triangular area, for a triangular graphene quantum dot with armchair-type boundaries. The quantization conditions, wave functions, and the eigenenergies are determined analytically. As an application, we calculate the corrections to the quantum dot's energy levels due to distortions of the carbon-carbon bonds at the edges of the quantum dot.

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I. INTRODUCTION

Graphene attracts considerable attention due to its unusual electronic properties, including: large mean-free path, “relativistic” dispersion of the low-lying electron states, and “valley” degeneracy (see, e.g., reviews^{1–3}). These remarkable features suggest that some day graphene mesoscopic structures might revolutionize nanoscience. Thus, a substantial amount of effort has been invested studying graphene nanodevices, such as quantum dots (QDs),⁴ bilayer structures,⁵ nanoribbons,^{6–10} and other objects: e.g., *p-n* junctions, superlattices (both magnetic and nonmagnetic), and samples with gates.¹¹

In this paper we study graphene QDs, which are interesting and important nanodevices. A significant characteristic of a QD is its single-electron spectrum; that is, its single-electron wave functions and corresponding eigenenergies. There is substantial body of literature dedicated to investigating the single-electron spectral properties of graphene QDs using numerical tools (see, e.g., Refs. 12–14). Instead of using numerical approaches, in this paper we obtain an *analytical* solution for a QD shaped as an equilateral triangle (triangular QD or TQD) with armchair-type edges. (Some analytical results for a TQD with zigzag edges are reported in Ref. 15.)

The basis of our construction is the *exact* solution of the wave equation inside an area shaped like an equilateral triangle. This solution is considered for different contexts in Ref. 16. The present study is inspired by Refs. 13 and 14, where the results of Ref. 16 were used as a tool of analysis for TQD single-electron *numerical* data. Once the wave functions are found, to demonstrate their usefulness, we derive corrections to the single-electron levels of the TQD due to deformation of the carbon-carbon bonds at the edges of the dot.

This paper is organized as follows. In Sec. II we describe the solution of the Schrödinger equation inside a triangular well. The necessary basic graphene physics is outlined in Sec. III. In Sec. IV analytical expressions for the single-electron wave functions for a graphene triangular quantum dot are found. The properties of these wave functions are investigated in Sec. V. The corrections due to the edge bond deformation are calculated in Sec. VI. The obtained results are discussed in Sec. VII.

II. QUANTUM PARTICLE INSIDE A TRIANGULAR WELL

Since in this paper we study a triangular graphene QD, as a preparatory discussion, let us derive the wave function for a quantum particle, confined inside an infinitely deep triangular well. Although, this solution is not new,¹⁶ we include it in our presentation. Investigating such a system we avoid complications the graphene lattice introduces to the problem, yet the most salient features of the wave function are brought to light. Thus, we want to solve the Schrödinger equation

$$E\psi(x,y) = \frac{p^2}{2M}\psi(x,y) \quad (1)$$

with the wave function $\psi(x,y)$ vanishing at the boundaries of the equilateral triangle with side L

$$\psi(x,0) = 0, \quad (2)$$

$$\psi(x, \sqrt{3}x) = 0, \quad (3)$$

$$\psi(x, \sqrt{3}L - \sqrt{3}x) = 0. \quad (4)$$

Equation (1) and the boundary conditions Eqs. (2)–(4) constitute a well-defined eigenvalue problem.

As a preliminary step for solving this problem, let us ignore Eq. (4) for the time being and construct a wave function, which satisfies Eqs. (2) and (3). This amounts to solving Eq. (1) inside an infinite sector limited by the lines $y=0$ and $y=\sqrt{3}x$.

To find the wave function inside the sector we imagine that there is an incoming plane wave with wave vector \mathbf{k}_1 ,

$$\psi_1 = \exp(-i\mathbf{k}_1 \cdot \mathbf{r}), \quad (5)$$

$$\mathbf{k}_1 = (k_x, k_y), \quad (6)$$

$$k_y \neq \pm \sqrt{3}k_x, \quad k_y \neq 0. \quad (7)$$

The boundary $y=0$ reflects this wave into another plane wave

$$\psi_2 = \exp(-i\mathbf{k}_2 \cdot \mathbf{r}) \quad (8)$$

with wave vector

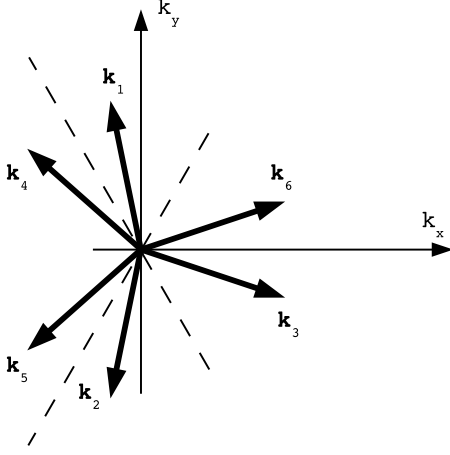


FIG. 1. Sextet of plane waves which compose the wave function ψ in Eq. (14). The dashed lines are $k_y = \pm \sqrt{3}k_x$.

$$\mathbf{k}_2 = (k_x, -k_y). \quad (9)$$

Now the difference $(\psi_1 - \psi_2)$ satisfies Eq. (2). These two plane waves are reflected by the boundary $y = \sqrt{3}x$, creating two additional plane waves $\psi_{5,6}$, whose wave vectors are

$$\mathbf{k}_5 = -\frac{1}{2}(k_x + \sqrt{3}k_y, -\sqrt{3}k_x + k_y), \quad (10)$$

$$\mathbf{k}_6 = -\frac{1}{2}(k_x - \sqrt{3}k_y, -\sqrt{3}k_x - k_y). \quad (11)$$

These two also experience a reflection at the $y=0$ boundary, inducing two additional plane waves $\psi_{3,4}$ with

$$\mathbf{k}_3 = -\frac{1}{2}(k_x - \sqrt{3}k_y, \sqrt{3}k_x + k_y), \quad (12)$$

$$\mathbf{k}_4 = -\frac{1}{2}(k_x + \sqrt{3}k_y, \sqrt{3}k_x - k_y). \quad (13)$$

Fortunately, when these two undergo reflection at the $y = \sqrt{3}x$ boundary, no new plane wave appears. The sextet of wave vectors (Fig. 1) is closed under reflections with respect to the sector's boundaries. A set of six plane waves $\psi_\alpha = \exp(-i\mathbf{k}_\alpha \cdot \mathbf{r})$, $\alpha=1, \dots, 6$, is enough to describe the wave function inside the sector. The wave function in question is

$$\psi = \psi_1 - \psi_2 + \psi_3 - \psi_4 + \psi_5 - \psi_6 = \sum_{\alpha=1}^6 (-1)^{\alpha+1} \psi_\alpha. \quad (14)$$

By construction it satisfies the boundary conditions, Eqs. (2) and (3), see Fig. 2.

Finally, we need to enforce the third boundary condition, Eq. (4). On the line

$$\mathbf{r} = \mathbf{r}_0 + \mathbf{v}s, \quad (15)$$

where

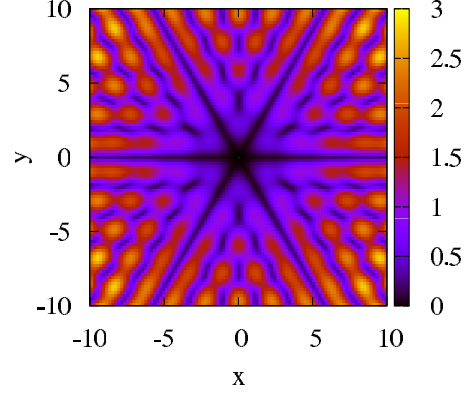


FIG. 2. (Color online) The absolute value $|\psi(x,y)|$ of the wave function $\psi(x,y)$, Eq. (14), for arbitrary \mathbf{k} . The wave function vanishes at $y=0$ and at $y = \pm \sqrt{3}x$.

$$\mathbf{r}_0 = (L, 0), \quad \mathbf{v} = \frac{1}{2}(-1, \sqrt{3}), \quad (16)$$

and s varies from zero to L , our wave function is equal to

$$\begin{aligned} \psi(\mathbf{r}_0 + \mathbf{v}s) = & \{ [\exp(-i\mathbf{k}_1 \cdot \mathbf{r}) - \exp(-i\mathbf{k}_4 \cdot \mathbf{r})] \\ & + [\exp(-i\mathbf{k}_3 \cdot \mathbf{r}) - \exp(-i\mathbf{k}_2 \cdot \mathbf{r})] \\ & + [\exp(-i\mathbf{k}_5 \cdot \mathbf{r}) - \exp(-i\mathbf{k}_6 \cdot \mathbf{r})] \} |_{\mathbf{r}=\mathbf{r}_0+\mathbf{v}s}. \end{aligned} \quad (17)$$

We now group the plane waves $\exp(-i\mathbf{k}_1 \cdot \mathbf{r})$ and $\exp(-i\mathbf{k}_4 \cdot \mathbf{r})$ together because $\mathbf{v} \cdot \mathbf{k}_1 = \mathbf{v} \cdot \mathbf{k}_4$, see Fig. 1 and definitions Eqs. (6) and (13). For the same reason we cluster $\exp(-i\mathbf{k}_3 \cdot \mathbf{r})$ with $\exp(-i\mathbf{k}_2 \cdot \mathbf{r})$, and $\exp(-i\mathbf{k}_5 \cdot \mathbf{r})$ is grouped with $\exp(-i\mathbf{k}_6 \cdot \mathbf{r})$. As a result, the value of ψ on the boundary can be expressed as

$$\begin{aligned} \psi(\mathbf{r}_0 + \mathbf{v}s) = & A \exp\left[\frac{i}{2}(k_x - \sqrt{3}k_y)s\right] + B \exp\left[\frac{i}{2}(k_x + \sqrt{3}k_y)s\right] \\ & + C \exp(-ik_x s). \end{aligned} \quad (18)$$

The coefficients are

$$A = \exp(-ik_x L) - \exp[i(k_x + \sqrt{3}k_y)L/2], \quad (19)$$

$$B = \exp[i(k_x - \sqrt{3}k_y)L/2] - \exp(-ik_x L), \quad (20)$$

$$C = \exp[i(k_x + \sqrt{3}k_y)L/2] - \exp[i(k_x - \sqrt{3}k_y)L/2]. \quad (21)$$

Equation (17) vanishes, if A , B , and C are all equal to zero. This occurs when the following conditions are met

$$k_x = \frac{2\pi}{3L}(n - m), \quad (22)$$

$$k_y = \frac{2\pi}{\sqrt{3}L}(n + m). \quad (23)$$

Here n and m are integers. Equations (22) and (23) are the quantization conditions for the particle momentum due to confinement. The wave function $\psi^{n,m}(x,y)$ with momentum

\mathbf{k}_1 satisfying these equations is the solution of the Schrödinger equation with boundary conditions, Eqs. (2)–(4), and the eigenvalue

$$E_{n,m} = \frac{8\pi^2\hbar^2}{9ML^2}(n^2 + m^2 + nm). \quad (24)$$

The wave function $\psi^{n,m}$ vanishes identically, if any of the equalities

$$n = 0, \quad \text{or } m = 0, \quad \text{or } n = -m, \quad (25)$$

holds [for example, if $n = -m$, then $k_y = 0$, $\Rightarrow \mathbf{k}_1 = \mathbf{k}_2$, $\mathbf{k}_3 = \mathbf{k}_6$, $\mathbf{k}_5 = \mathbf{k}_4$, and both the even and odd terms of Eq. (14) cancel each other]. Conditions, Eq. (25), are equivalent to Eq. (7).

In Sec. IV we show how to adopt $\psi^{n,m}$ for a graphene TQD.

III. BASIC PHYSICS OF A GRAPHENE SHEET

For completeness, in this section we quickly remind the reader the basic single-electron properties of a graphene sheet. Our treatment follows Ref. 1. The notation introduced in this section will be used in the rest of the paper.

It is common to describe a graphene sample in terms of a tight-binding model on the honeycomb lattice. Such lattice can be split into two sublattices, denoted by \mathcal{A} and \mathcal{B} . The Hamiltonian of an electron hopping on the graphene sheet is given by

$$H = -t \sum_{\mathbf{R} \in \mathcal{A}} \sum_{i=1,2,3} c_{\mathbf{R}}^\dagger c_{\mathbf{R}+\delta_i} + \text{H.c.}, \quad (26)$$

where ‘‘H.c.’’ stands for ‘‘Hermitian conjugate,’’ \mathbf{R} runs over sublattice \mathcal{A}

$$\mathbf{R} = \delta_1 + \mathbf{a}_1 n_1 + \mathbf{a}_2 n_2 \Leftrightarrow \mathbf{R} \in \mathcal{A}, \quad (27)$$

where the primitive vectors of the honeycomb lattice are

$$\mathbf{a}_1 = a_0(3/2, \sqrt{3}/2), \quad (28)$$

$$\mathbf{a}_2 = a_0(3/2, -\sqrt{3}/2), \quad (29)$$

and $n_{1,2}$ are integers. The symbol a_0 denotes the carbon-carbon bond length, which is about 1.4 Å. The vectors δ_i ($i = 1, 2, 3$) connect the nearest neighbors. They are

$$\delta_1 = a_0(-1, 0), \quad (30)$$

$$\delta_2 = a_0(1/2, \sqrt{3}/2), \quad (31)$$

$$\delta_3 = a_0(1/2, -\sqrt{3}/2). \quad (32)$$

The corresponding Schrödinger equation can be written as

$$\varepsilon \psi_{\mathbf{R}}^A = -t \psi_{\mathbf{R}+\delta_1}^B - t \sum_{i=1,2} \psi_{\mathbf{R}+\delta_1+\mathbf{a}_i}^B, \quad (33)$$

$$\varepsilon \psi_{\mathbf{R}+\delta_1}^B = -t \psi_{\mathbf{R}}^A - t \sum_{i=1,2} \psi_{\mathbf{R}-\mathbf{a}_i}^A, \quad (34)$$

where $\psi_{\mathbf{R}}^A$ ($\psi_{\mathbf{R}+\delta_1}^B$) denotes the wave function value at the site \mathbf{R} (at the site $\mathbf{R} + \delta_1$) of sublattice \mathcal{A} (sublattice \mathcal{B}).

The primitive cell of graphene contains two atoms, one at \mathbf{R} , another at $\mathbf{R} + \delta_1$. Therefore, it is convenient to define the two-component (spinor) wave function

$$\Psi_{\mathbf{R}} = \begin{pmatrix} \psi_{\mathbf{R}}^A \\ \psi_{\mathbf{R}+\delta_1}^B \end{pmatrix}. \quad (35)$$

By construction, the function $\Psi_{\mathbf{R}}$ is defined on sublattice \mathcal{A} , Eq. (27).

The action of H on a plane wave

$$\Psi_{\mathbf{R}} = \Psi_{\mathbf{k}} \exp(-i\mathbf{k} \cdot \mathbf{R}) \quad (36)$$

can be expressed as

$$H\Psi_{\mathbf{k}} = \begin{pmatrix} 0 & -t_{\mathbf{k}} \\ -t_{\mathbf{k}}^* & 0 \end{pmatrix} \Psi_{\mathbf{k}}, \quad (37)$$

$$t_{\mathbf{k}} = t \left[1 + 2 \exp\left(-i\frac{3k_x a_0}{2}\right) \cos\left(\frac{\sqrt{3}}{2}k_y a_0\right) \right]. \quad (38)$$

For every \mathbf{k} there are two eigenstates

$$\Psi_{\mathbf{k}\pm} = \begin{pmatrix} 1 \\ \mp e^{-i\theta_{\mathbf{k}}} \end{pmatrix}, \quad (39)$$

$$\exp(i\theta_{\mathbf{k}}) = \frac{t_{\mathbf{k}}}{|t_{\mathbf{k}}|}, \quad (40)$$

with eigenvalues

$$\varepsilon_{\mathbf{k}\pm} = \pm |t_{\mathbf{k}}| = \pm t\sqrt{3 + F(\mathbf{k})}, \quad (41)$$

$$F(\mathbf{k}) = 4 \cos\left(\frac{3}{2}k_x a_0\right) \cos\left(\frac{\sqrt{3}}{2}k_y a_0\right) + 2 \cos(\sqrt{3}k_y a_0). \quad (42)$$

The states with negative (positive) energy are filled (empty) at $T=0$.

The allowed values of \mathbf{k} lie within the Brillouin zone presented on Fig. 3. The reciprocal lattice is characterized by the following lattice vectors

$$\mathbf{d}_1 = (4\pi/3a_0, 0), \quad (43)$$

$$\mathbf{d}_2 = (-2\pi/3a_0, 2\pi/\sqrt{3}a_0). \quad (44)$$

The amplitude $t_{\mathbf{k}}$ and energy $\varepsilon_{\mathbf{k}\pm}$ are invariant under shifts over $\mathbf{d}_{1,2}$.

The quantity $\varepsilon_{\mathbf{k}\pm}$ vanishes at the six corners of the Brillouin zone: $(0, \pm 4\pi/(3\sqrt{3}a_0))$ and $(\pm 2\pi/(3a_0), \pm 2\pi/(3\sqrt{3}a_0))$. These are the locations of the famous Dirac cones of graphene.

IV. SCHRÖDINGER EQUATION SOLUTION FOR AN ELECTRON ON A TRIANGULAR GRAPHENE DOT

In this section we find the solution of Eqs. (33) and (34) for a graphene TQD.

The basic object of study here, a TQD with armchair edges, is depicted in Fig. 4. The carbon atoms are shown as

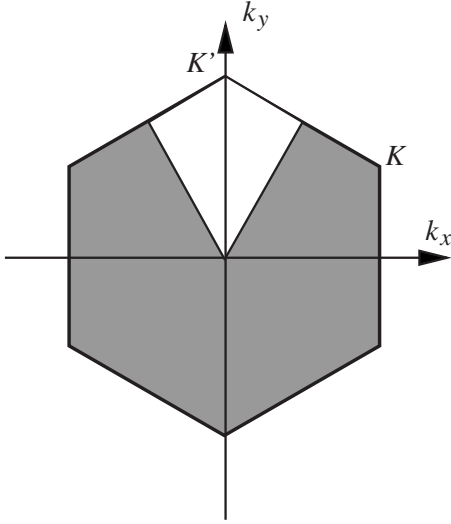


FIG. 3. The hexagon shown is the Brillouin zone of graphene. The white polygon is where the allowed wave vectors for the triangular quantum dot are located (see Figs. 8 and 9).

black circles, the covalent bonds are solid lines connecting the atoms. The lateral size of the TQD is L_0 . It is a multiple of $3a_0$

$$L_0 = 3N_0a_0, \quad (45)$$

where N_0 is an integer. The dot in Fig. 4 is characterized by $N_0=3$.

The total number of carbon atoms in the dot N_a is

$$N_a = 3N_0(N_0 + 1). \quad (46)$$

This formula can be derived if one splits the dot into $N_0(N_0+1)/2$ aromatic rings with six atoms each (see Fig. 5).

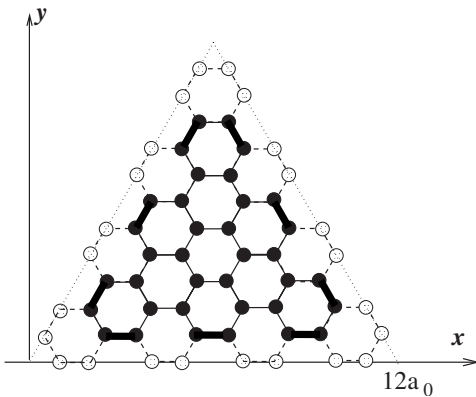


FIG. 4. Triangular graphene quantum dot with armchair edges (here, $N_0=3$ and $N=4$). The solid lines represent covalent bonds between neighboring carbon atoms (black circles). The thick lines at the edges represent deformed bonds, whose effect on the spectrum is studied in Sec. VI. The dashed lines represent fictitious bonds connecting real carbon atoms and auxiliary atoms. The latter are represented by hatched circles. Dotted lines correspond to the effective boundaries of the triangular dot.

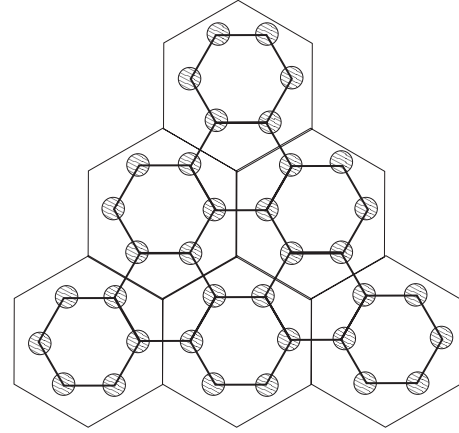


FIG. 5. A triangular graphene dot can be split into $(N_0 + 1)N_0/2$ aromatic rings, where the integer N_0 is proportional to L_0 , the dot size: $L_0=3a_0N_0$. For the dot shown on the figure, $N_0=3$. Thus, the triangular dot consists of six rings.

The atoms at the edges of the dot are special for they have only two nearest neighbors, unlike atoms in the “bulk” of the dot, which have three neighbors. As a result, the Schrödinger equations, Eqs. (33) and (34), for the atoms at the edges have to be modified. It is not always convenient to work with such formalism. A simpler approach is used in Refs. 9 and 10. In those works it is pointed out that one may add an extra row of carbon atoms at the armchair edges (“auxiliary” atoms, shown as hatched circles in Fig. 4) and demand the wave function to vanish on these “atoms.” Then for a physical (not auxiliary) atom at the edge we do not have to amend Eqs. (33) and (34) explicitly. Indeed, the absent neighbor (now represented by the auxiliary atom) does not contribute to these equations since the wave function vanishes on the auxiliary atoms.

The addition of the extra row of auxiliary atoms slightly increases the effective size of the dot. It is helpful to introduce the following notation

$$L = L_0 + 3a_0 = 3Na_0, \quad \text{where } N = N_0 + 1. \quad (47)$$

Although L and N are trivially related to L_0 and N_0 , it is convenient to define these quantities explicitly for they are heavily used in the calculations below.

Consider now the wave function

$$\Psi_{\mathbf{R}\pm} = \sum_{\alpha=1}^6 (-1)^{\alpha+1} \Psi_{\mathbf{k}_\alpha\pm} \exp(-i\mathbf{k}_\alpha \cdot \mathbf{R}), \quad (48)$$

where \mathbf{k}_α are members of a sextet. They are given in Sec. II. Observe now that

$$\varepsilon_{\mathbf{k}_1\pm} = \varepsilon_{\mathbf{k}_2\pm} = \varepsilon_{\mathbf{k}_3\pm} = \varepsilon_{\mathbf{k}_4\pm} = \varepsilon_{\mathbf{k}_5\pm} = \varepsilon_{\mathbf{k}_6\pm}. \quad (49)$$

This is a consequence of the graphene lattice symmetry. Therefore, the spinor $\Psi_{\mathbf{R}\pm}$ is a solution of Eqs. (33) and (34) with eigenvalue $\varepsilon_{\mathbf{k}_1\pm}$.

Further, the upper component of $\Psi_{\mathbf{R}\pm}$ coincides with $\psi(\mathbf{R})$, Eq. (14). Thus, if \mathbf{k}_1 satisfies Eqs. (22) and (23), then $\psi_{\mathbf{R}\pm}^A$ complies with the boundary condition. We remind the reader that the zero boundary conditions must be met at the

effective edges of the TQD (on the auxiliary atoms).

The lower component of $\Psi_{\mathbf{R}\pm}$ requires a more tedious consideration. It is equal to

$$\psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} = \mp \sum_{\alpha} (-1)^{\alpha+1} \exp(-i\theta_{\mathbf{k}_{\alpha}}) \exp(-i\mathbf{k}_{\alpha} \cdot \mathbf{R}). \quad (50)$$

As Eq. (35) specifies, the argument of $\psi^{\mathcal{B}}$ is not \mathbf{R} , which belongs to sublattice \mathcal{A} , but rather the sum $\mathbf{R} + \delta_1$, which belongs to sublattice \mathcal{B} (recall that \mathbf{R} is the coordinate of the two-atom unit cell while $\mathbf{R} + \delta_1$ is the physical location of the atom on sublattice \mathcal{B}). We must keep this in mind when formulating the following boundary conditions for $\psi^{\mathcal{B}}$

$$\psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} = 0, \quad \text{if } y=0, \quad (51)$$

$$\psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} = 0, \quad \text{if } \mathbf{R} + \delta_1 = \mathbf{u}s, \quad (52)$$

where

$$\mathbf{u} = \frac{1}{2}(1, \sqrt{3}), \quad s = (3l-1)a_0, \quad (53)$$

$$\psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} = 0, \quad \text{if } \mathbf{R} + \delta_1 = \mathbf{r}_0 + \mathbf{v}s', \quad (54)$$

where

$$s' = (3l+1)a_0. \quad (55)$$

Here l is an integer; \mathbf{r}_0 and \mathbf{v} are defined in Eq. (16).

The first condition, Eq. (51), is fulfilled automatically. Indeed, it is easy to check that $\exp(-i\theta_{\mathbf{k}})$ is independent of the sign of k_y . Thus

$$\begin{aligned} & \exp(-i\theta_{\mathbf{k}_1}) \exp(-i\mathbf{k}_1 \cdot \mathbf{R}) - \exp(-i\theta_{\mathbf{k}_2}) \exp(-i\mathbf{k}_2 \cdot \mathbf{R}) \\ &= -2i \exp(-i\theta_{\mathbf{k}_1}) \exp(-ik_x x) \sin(k_y y), \end{aligned} \quad (56)$$

vanishes, when $y=0$. The same holds true for the sum of the third and sixth terms, as well as for the sum of the fourth and fifth terms.

Let us now show that Eq. (52) is valid. It is convenient to rewrite Eq. (50) as

$$\begin{aligned} \psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} &= \mp \sum_{\alpha} (-1)^{\alpha+1} \exp(-i\theta_{\mathbf{k}_{\alpha}} - ik_{x\alpha} a_0) \\ &\quad \times \exp[-i\mathbf{k}_{\alpha} \cdot (\mathbf{R} + \delta_1)]. \end{aligned} \quad (57)$$

When $\mathbf{R} + \delta_1 = \mathbf{u}s$, we have

$$\begin{aligned} \psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} &= \mp \left\{ A \exp\left[-\frac{i}{2}(k_x + \sqrt{3}k_y)s\right] \right. \\ &\quad \left. + B \exp\left[-\frac{i}{2}(k_x - \sqrt{3}k_y)s\right] + C \exp(ik_x s)\right\}, \end{aligned} \quad (58)$$

where

$$A = \exp(-i\theta_{\mathbf{k}_1} - ik_{x1}a_0) - \exp(-i\theta_{\mathbf{k}_6} - ik_{x6}a_0), \quad (59)$$

$$B = \exp(-i\theta_{\mathbf{k}_5} - ik_{x5}a_0) - \exp(-i\theta_{\mathbf{k}_2} - ik_{x2}a_0), \quad (60)$$

$$C = \exp(-i\theta_{\mathbf{k}_3} - ik_{x3}a_0) - \exp(-i\theta_{\mathbf{k}_4} - ik_{x4}a_0). \quad (61)$$

Note that the equation for A involves two wave vectors: \mathbf{k}_1 and \mathbf{k}_6 . They enter together because $\mathbf{u} \cdot \mathbf{k}_1 = \mathbf{u} \cdot \mathbf{k}_6$. For the same reason the vectors \mathbf{k}_2 and \mathbf{k}_5 appear in the equation for B , and the vectors \mathbf{k}_3 and \mathbf{k}_4 are part of the equation for C . A similar structure was already observed above, see the discussion after Eq. (17).

It is easy to check that

$$\exp(-i\theta_{\mathbf{k}_6}) = \exp\left(-\frac{3i}{2}k_x a_0 + \frac{\sqrt{3}i}{2}k_y a_0\right) \exp(-i\theta_{\mathbf{k}_1}). \quad (62)$$

To prove this identity one has to use Eqs. (38) and (40), and the fact that $|t_{\mathbf{k}}| = \varepsilon_{\mathbf{k}+}$ is the same for all members of the sextet, see Eq. (49). Consequently

$$\begin{aligned} A &= \exp(-i\theta_{\mathbf{k}_1} - ik_{x1}a_0) \\ &\quad \times \left\{ 1 - \exp\left[-ia_0\left(\frac{3}{2}k_x - \frac{\sqrt{3}}{2}k_y - k_{x1} + k_{x6}\right)\right] \right\}. \end{aligned} \quad (63)$$

Using the definitions of \mathbf{k}_1 and \mathbf{k}_6 we can write

$$-k_{x1} + k_{x6} = -\frac{3}{2}k_x + \frac{\sqrt{3}}{2}k_y. \quad (64)$$

Thus, the argument of the exponential in Eq. (63) vanishes and the coefficient A vanishes as a result. In a similar fashion, it is possible to prove that B and C are equal to zero.

Lastly, we need to demonstrate that $\psi^{\mathcal{B}}$ satisfies Eq. (54). When $\mathbf{R} + \delta_1 = \mathbf{r}_0 + \mathbf{v}s'$, we use Eq. (57) to obtain

$$\begin{aligned} \psi_{\mathbf{R}+\delta_1\pm}^{\mathcal{B}} &= \mp \left\{ A \exp\left[\frac{i}{2}(k_x - \sqrt{3}k_y)s'\right] \right. \\ &\quad \left. + B \exp\left[\frac{i}{2}(k_x + \sqrt{3}k_y)s'\right] + C \exp(-ik_x s')\right\}, \end{aligned} \quad (65)$$

with the coefficients

$$\begin{aligned} A &= \exp[-i\theta_{\mathbf{k}_1} - ik_x(L + a_0)] \\ &\quad - \exp\left[-i\theta_{\mathbf{k}_4} + \frac{i}{2}(k_x + \sqrt{3}k_y)(L + a_0)\right], \end{aligned} \quad (66)$$

$$\begin{aligned} B &= -\exp[-i\theta_{\mathbf{k}_2} - ik_x(L + a_0)] \\ &\quad + \exp\left[-i\theta_{\mathbf{k}_3} + \frac{i}{2}(k_x - \sqrt{3}k_y)(L + a_0)\right], \end{aligned} \quad (67)$$

$$\begin{aligned} C &= \exp\left[-i\theta_{\mathbf{k}_5} + \frac{i}{2}(k_x + \sqrt{3}k_y)(L + a_0)\right] \\ &\quad - \exp\left[-i\theta_{\mathbf{k}_6} + \frac{i}{2}(k_x - \sqrt{3}k_y)(L + a_0)\right]. \end{aligned} \quad (68)$$

Using the relation

$$\exp(-i\theta_{\mathbf{k}_4}) = \exp\left(-\frac{3i}{2}k_x a_0 - \frac{\sqrt{3}i}{2}k_y a_0\right) \exp(-i\theta_{\mathbf{k}_1}), \quad (69)$$

which is similar to Eq. (62) and is derived analogously, we show that

$$A = \exp[-i\theta_{\mathbf{k}_1} - ik_x(L + a_0)] \times \left\{ 1 - \exp\left[iL\left(\frac{3}{2}k_x + \frac{\sqrt{3}}{2}k_y\right)\right]\right\}. \quad (70)$$

From here we obtain

$$A = 0 \Leftrightarrow L\left(\frac{3}{2}k_x + \frac{\sqrt{3}}{2}k_y\right) = 2\pi n. \quad (71)$$

For the coefficient B , the following expression holds

$$B = \exp[-i\theta_{\mathbf{k}_2} - ik_x(L + a_0)] \times \left\{ -1 + \exp\left[iL\left(\frac{3}{2}k_x - \frac{\sqrt{3}}{2}k_y\right)\right]\right\}. \quad (72)$$

Deriving Eq. (72), we use the relation,

$$\exp(-i\theta_{\mathbf{k}_3}) = \exp\left(-\frac{3i}{2}k_x a_0 + \frac{\sqrt{3}i}{2}k_y a_0\right) \exp(-i\theta_{\mathbf{k}_2}). \quad (73)$$

Coefficient B vanishes when

$$L\left(\frac{3}{2}k_x - \frac{\sqrt{3}}{2}k_y\right) = -2\pi m. \quad (74)$$

Coefficient C vanishes automatically, when both Eqs. (71) and (74) hold.

Combining Eqs. (71) and (74) we derive the quantization condition

$$\mathbf{k}_1 = \mathbf{k}^{n,m}, \quad (75)$$

where

$$\mathbf{k}^{n,m} = n\mathbf{K}_1 + m\mathbf{K}_2, \quad (76)$$

$$\mathbf{K}_{1,2} = \left(\pm \frac{2\pi}{9Na_0}, \frac{2\pi}{3\sqrt{3}Na_0}\right). \quad (77)$$

which is equivalent to Eqs. (22) and (23) with L given by Eq. (47). The symbol $\Psi_{\mathbf{R}}^{n,m}$ is used below to denote the wave function with $\mathbf{k}_1 = \mathbf{k}^{n,m}$.

Thus, we demonstrate that the wave function, Eq. (48), with momentum quantized according to Eq. (75) satisfies the Schrödinger Eqs. (33) and (34) on a TQD with armchair edges.

V. PROPERTIES OF THE WAVE FUNCTION

In this section we study the most elementary properties of the Schrödinger equation solution $\Psi_{\mathbf{R}}^{n,m}$.

A. Eigenenergy

The eigenenergy corresponding to our solution is equal to

$$\begin{aligned} \varepsilon_{n,m\pm} &= \varepsilon_{\mathbf{k}_1\pm} \\ &= \pm t \left\{ 3 + 2 \cos\left(\frac{2\pi n}{3N}\right) + 2 \cos\left(\frac{2\pi m}{3N}\right) \right. \\ &\quad \left. + 2 \cos\left[\frac{2\pi(n+m)}{3N}\right] \right\}^{1/2}. \end{aligned} \quad (78)$$

The eigenenergy remains unchanged, if n and m are switched. Therefore, if the wave functions $\Psi^{n,m}$ and $\Psi^{m,n}$ are linearly independent, the corresponding states are degenerate.

Let us define \tilde{n} and \tilde{m} as

$$n = N + \tilde{n}, \quad (79)$$

$$m = N - \tilde{m}. \quad (80)$$

If $N \gg 1$, $|\tilde{n}| \ll N$, and $|\tilde{m}| \ll N$, then $\sqrt{3N_a} \approx 3N$, and we may expand Eq. (78) in orders of \tilde{n}/N and \tilde{m}/N ,

$$\varepsilon_{n,m\pm} \approx \pm \frac{2\pi t}{\sqrt{3N_a}} \sqrt{\tilde{n}^2 + \tilde{m}^2 - \tilde{n}\tilde{m}}. \quad (81)$$

The latter formula is reported in Refs. 13 and 14. It is closely related to Eq. (24). Indeed, in the long-wavelength limit the graphene lattice structure becomes irrelevant and the electron wave function satisfies the massless Dirac equation. The eigenenergy ε is proportional to the electron momentum: $|\varepsilon_{\pm,n,m}| \propto |\mathbf{k}^{\tilde{n},\tilde{m}}|$. Since $E_{n,m} \propto |\mathbf{k}^{n,m}|^2$, we have $|\varepsilon_{\pm,n,m}| \propto \sqrt{E_{\tilde{n},\tilde{m}}}$.

An interesting phenomenon occurs in TQDs with even N . For such object, consider the quantum states $\Psi_{\mathbf{R}\pm}^{n,m}$ with

$$n = \frac{3N}{2} - m. \quad (82)$$

In this case,

$$\varepsilon_{n,m\pm} = \pm t, \quad (83)$$

independent of m . That is, for an even- N dot the energy level at $\pm t$ is very degenerate. Although, here we do not investigate this feature in detail, it seems to be an accidental degeneracy, which is lifted if one includes longer-range hopping in the Hamiltonian.

B. Symmetry of the wave function

The geometrical symmetry group G of a TQD consists of $\pm 2\pi/3$ rotations about the center of the dot and reflections with respect to three bisectors. Such group is isomorphic to C_{3v} symmetry group.¹⁷ It has two one-dimensional irreducible representations, A_1 and A_2 ; and one two-dimensional irreducible representation E .

The representation A_1 is trivial: it maps all the group elements on 1; A_2 maps all rotations on 1 and all reflections on -1 . The representation E maps a rotation (reflection) on a 2×2 orthogonal matrix performing a rotation (reflection) of the two-dimensional Euclidean space.

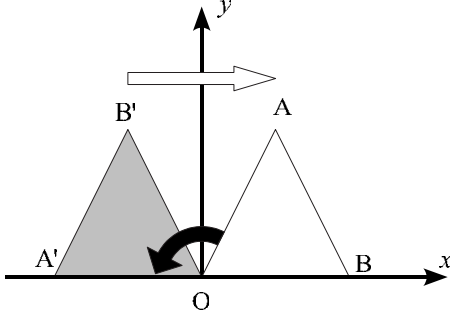


FIG. 6. Sequence of steps to rotate the triangular quantum dot about its center. First, the dot is rotated around the origin (black arrow). After this transformation, the original white TQD becomes the gray TQD. Point A is transformed into A' and point B is mapped on B'. Afterwards, the dot is shifted by L (white arrow) to restore the original position.

1. Rotation

In order to see which eigenfunction corresponds to which representation, let us perform a $2\pi/3$ rotation over the center of the TQD. Technically, it is more convenient to split such transformation into two consecutive steps: (i) $U_{2\pi/3}$ —a rotation about the origin over the angle $2\pi/3$ (such rotation does not preserve the location of the dot), followed by (ii) a shift over L : $x \rightarrow x+L$, which restores the TQD into its position prior to step (i) (see Fig. 6). After step (i) the plane wave $\Psi_{\mathbf{k}_1} \exp(-i\mathbf{k}_1 \cdot \mathbf{R})$ becomes the plane wave $\Psi_{\mathbf{k}_5} \exp(-i\mathbf{k}_5 \cdot \mathbf{R})$; $\Psi_{\mathbf{k}_2} \exp(-i\mathbf{k}_2 \cdot \mathbf{R})$ becomes the plane wave $\Psi_{\mathbf{k}_6} \exp(-i\mathbf{k}_6 \cdot \mathbf{R})$, etc. This means that, after the rotation, $\Psi_{\mathbf{R}}^{n,m}$ remains unchanged.

Next we perform step (ii). As a result of such shift all exponentials acquire an extra phase factor. For example, consider

$$\begin{aligned} \exp(-i\mathbf{k}_1 \cdot \mathbf{R}) &\rightarrow \exp(-i\mathbf{k}_1 \cdot \mathbf{R} - ik_{x1}L) \\ &= \exp(-ik_{x1}L)\exp(-i\mathbf{k}_1 \cdot \mathbf{R}). \end{aligned} \quad (84)$$

The phase factor is

$$\begin{aligned} \exp(-ik_{x1}L) &= \exp(-ik_x L) = \exp\left[-i\frac{2\pi}{3}(n-m)\right] \\ &= \begin{cases} \exp\left(\pm\frac{2\pi i}{3}\right) & \text{if } n-m = 3p \mp 1 \\ 1 & \text{if } n-m = 3p, \end{cases} \end{aligned} \quad (85)$$

where p is an integer. If we investigate other exponentials [$\exp(i\mathbf{k}_\alpha \cdot \mathbf{R})$, $\alpha=2, \dots, 6$] we would arrive at the same expression for the phase factor.

Thus, upon rotation around the dot's center, the wave function $\Psi_{\mathbf{R}}^{n,m}$ acquires the phase multiplier, Eq. (85). We can say that the representation to which the wave function belongs is fixed by the value of $(n-m)$. When the latter is a multiple of 3, the wave function is transformed according to A_1 or A_2 . Otherwise, it is part of the two-dimensional representation E .

2. Reflection

Next, we study how $\Psi_{\mathbf{R}}^{n,m}$ is transformed under reflection. Another two-stage process is executed: (a) reflection with respect to the $x=0$ line followed by (b) shift $x \rightarrow x+L$, which restores the original position of the TQD. This sequence reflects the dot with respect to its vertical bisector.

After step (a) the wave function becomes

$$\Psi_{\mathbf{R}} \rightarrow \begin{pmatrix} \psi_{UR}^B \\ \psi_{UR-\delta_1}^A \end{pmatrix} = \sigma_x \Psi_{UR-\delta_1}, \quad (86)$$

where σ_x is the Pauli matrix and U is the reflection transformation matrix: $U\mathbf{R} = (-x, y)$.

Such a complicated transformation law is associated with the fact that the reflection $x \rightarrow -x$ exchanges the sublattices. Thus, the spinor components must be switched. This is why we multiply Ψ by σ_x . In addition, $U\mathbf{R} \in \mathcal{B}$, while the spinor wave function should be defined on sublattice \mathcal{A} , see Eqs. (27) and (35). Simple geometrical considerations show that the unit cell, whose location is given by \mathbf{R} , is reflected on the cell $(U\mathbf{R} - \delta_1)$. Keeping the above in mind, one can derive Eq. (86).

When $\Psi_{\mathbf{R}}$ is a plane wave, Eq. (86) becomes

$$\begin{aligned} \Psi_{\mathbf{k}\pm} \exp(-i\mathbf{k} \cdot \mathbf{R}) &\rightarrow \mp \exp(-i\theta_{\mathbf{k}} + i\mathbf{k} \cdot \delta_1) \Psi_{U\mathbf{k}\pm} \exp(-i\mathbf{k} \cdot U\mathbf{R}) \\ &= \mp \exp(-i\theta_{\mathbf{k}} - ik_x a_0) \Psi_{U\mathbf{k}\pm} \exp[-i(U\mathbf{k}) \cdot \mathbf{R}]. \end{aligned} \quad (87)$$

This equation demonstrates that a plane wave with wave vector \mathbf{k} is mapped on a plane wave with wave vector $U\mathbf{k}$, multiplied by a phase factor $f_{\mathbf{k}} = \exp(-i\theta_{\mathbf{k}} - ik_x a_0)$, which can be expressed as

$$f_{\mathbf{k}} = \frac{t}{\varepsilon_{\mathbf{k}+\alpha=1}} \sum_{\alpha=1}^3 \exp(i\mathbf{k} \cdot \delta_\alpha) = \frac{t}{\varepsilon_{\mathbf{k}+s=0}} \sum_{s=0}^2 \exp[i\mathbf{k} \cdot (U_{2\pi/3})^s \delta_1]. \quad (88)$$

This equation may be proven with the help of Eqs. (38) and (40).

The function f has three important properties

$$\text{if } \mathbf{k}' = U_{2\pi/3}\mathbf{k} \Rightarrow f_{\mathbf{k}'} = f_{\mathbf{k}}, \quad (89)$$

$$|f_{\mathbf{k}}| = 1, \quad (90)$$

$$f_{k_x, k_y} = f_{k_x, -k_y}. \quad (91)$$

The first property is a simple consequence of Eq. (88) while the two others follow from the definition of f .

Using Eqs. (89) and (91), one demonstrates that, for all plane waves in the sextet, the phase factors $f_{\mathbf{k}}$ are identical. It is easy to prove that the transformation law for our wave function becomes

$$\Psi_{\mathbf{R}\pm}^{n,m} \rightarrow \mp f_{n,m} \Psi_{\mathbf{R}\pm}^{m,n}, \quad (92)$$

$$f_{n,m} = f_{\mathbf{k}^{n,m}} = \frac{t}{\varepsilon_{n,m\pm}} \exp\left[-\frac{2\pi i}{9N}(n-m)\right] \times \left[1 + \exp\left(\frac{2\pi i}{3N}n\right) + \exp\left(-\frac{2\pi i}{3N}m\right)\right]. \quad (93)$$

Equation (92) shows how our wave function is transformed after step (a) of our two-step process.

Note that the wave function $\Psi^{m,n}$ from the right-hand side of Eq. (92) transforms as

$$\Psi_{\mathbf{R}\pm}^{m,n} \rightarrow \mp f_{m,n} \Psi_{\mathbf{R}\pm}^{n,m} = \mp f_{n,m}^* \Psi_{\mathbf{R}\pm}^{n,m}. \quad (94)$$

Therefore, $\Psi_{\mathbf{R}\pm}^{n,m}$, subjected to two reflection transformations, remains unchanged, as it should be.

The step (b) is identical to step (ii), see Eqs. (84) and (85). Consequently, when the TQD is subjected to the reflection about its bisector, the wave function transforms as follows

$$\Psi_{\mathbf{R}\pm}^{n,m} \rightarrow \mp f_{n,m} \exp\left[\frac{2\pi i(n-m)}{3}\right] \Psi_{\mathbf{R}\pm}^{n,m}. \quad (95)$$

3. One-dimensional irreducible representations A_1 and A_2

At this point we can explicitly construct the wave functions corresponding to the representations A_1 and A_2 . Recall that a wave function belongs to a one-dimensional representation (A_1 or A_2) only when $n-m=3p$. Assuming this relation, consider the sum

$$\Psi_{\mathbf{R}\pm\sigma}^{n,m} = \Psi_{\mathbf{R}\pm}^{n,m} + \sigma f_{n,m} \Psi_{\mathbf{R}\pm}^{m,n}, \quad (96)$$

where $\sigma = \pm 1$. Upon reflection, this wave function transforms as [see Eq. (95)]

$$\Psi_{\mathbf{R}\pm\sigma}^{n,m} \rightarrow \mp f_{n,m} \Psi_{\mathbf{R}\pm}^{n,m} \mp \sigma \Psi_{\mathbf{R}\pm}^{m,n} = \mp \sigma \Psi_{\mathbf{R}\pm\sigma}^{m,n}. \quad (97)$$

Therefore,

$$A_1: \begin{cases} \Psi_{\mathbf{R}+\sigma}^{n,m} & \text{if } \sigma = -1 \\ \Psi_{\mathbf{R}-\sigma}^{n,m} & \text{if } \sigma = 1. \end{cases} \quad (98)$$

$$A_2: \begin{cases} \Psi_{\mathbf{R}+\sigma}^{n,m} & \text{if } \sigma = 1 \\ \Psi_{\mathbf{R}-\sigma}^{n,m} & \text{if } \sigma = -1. \end{cases} \quad (99)$$

Since both $\Psi_{\mathbf{R}\pm}^{n,m}$ and $\Psi_{\mathbf{R}\pm}^{m,n}$ have identical eigenenergies $\varepsilon_{n,m\pm}$, their linear combination $\Psi_{\mathbf{R}\pm\sigma}^{n,m}$ also corresponds to $\varepsilon_{n,m\pm}$.

C. Normalization of the wave function

In order to calculate matrix elements with the help of our wave function, it has to be normalized. Namely, it is necessary to find the coefficient γ such that

$$\gamma^2 \left(\sum_{\mathbf{R} \in \text{TQD}} |\psi_{\mathbf{R}}^A|^2 + \sum_{\mathbf{R}+\delta_1 \in \text{TQD}} |\psi_{\mathbf{R}+\delta_1}^B|^2 \right) = 1, \quad (100)$$

where the summation is performed over the TQD atoms.

To find γ we use the following trick. Let us now consider a large lattice \mathcal{L} , whose linear size is much larger than $3N$, the size of our TQD. Consider, further, a spinor wave func-

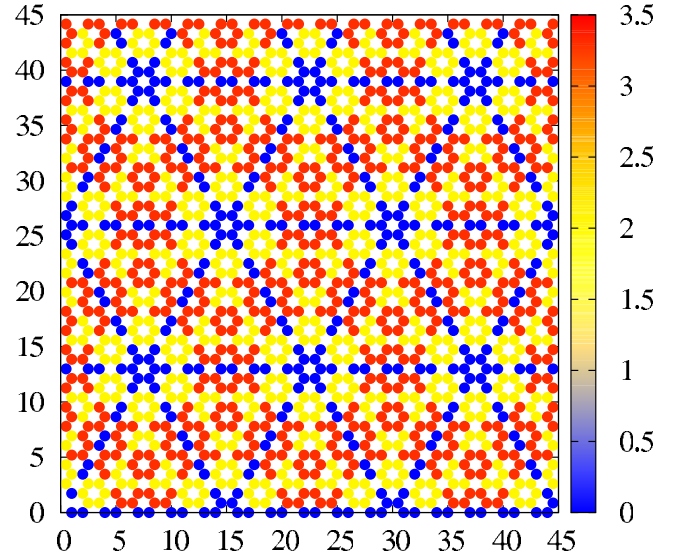


FIG. 7. (Color online) The absolute value of the wave function $\Psi^{n,m}$ on a large graphene lattice. The wave function vanishes on the black sites (blue sites when the figure is in color), which are the auxiliary atoms. The lines of the auxiliary atoms split the whole lattice in n_{dot} triangular dots. Note that a given auxiliary atom is shared by two dots. The bar on the right shows the correspondence between the dot color and the wave function value.

tion $\Psi^{n,m}$ on such a lattice (see Fig. 7). This wave function vanishes on certain sites of the lattice, splitting the whole area into n_{dot} triangular dots. Clearly, the sites where the wave function vanishes correspond to the auxiliary atoms.

Using the methods of Sec. V B it is possible to prove that the wave functions on any two TQD of Fig. 7 are connected by a unitary transformation. Therefore, the summation in Eq. (100), performed over any TQD of Fig. 7, gives unity. Thus, the summation over the entire lattice \mathcal{L} gives us the number of the dots

$$\gamma^2 \left(\sum_{\mathbf{R} \in \mathcal{L}} |\psi_{\mathbf{R}}^A|^2 + \sum_{\mathbf{R}+\delta_1 \in \mathcal{L}} |\psi_{\mathbf{R}+\delta_1}^B|^2 \right) = n_{\text{dot}}. \quad (101)$$

On the other hand, the expression in the round brackets is equal to $6N_{\mathcal{L}}$, where $N_{\mathcal{L}}$ is the number of atoms in \mathcal{L} . The factor of 6 appears because our wave function is composed of six different plane waves. This is the advantage of introducing a large lattice: we know that, when translational invariance is restored, the interference between different plane waves of the sextet is negligible; therefore, each plane wave contributes individually to the wave function norm, and no cross-term needs to be calculated. Thus

$$6\gamma^2 N_{\mathcal{L}} = n_{\text{dot}}. \quad (102)$$

There are N_a physical atoms and $3N$ auxiliary atoms per TQD on \mathcal{L} (there are $6N$ auxiliary atoms surrounding one TQD, yet this amount has to be divided by two, since any auxiliary atom is shared by two adjacent dots). In total, there are $3N^2$ lattice sites per TQD. Therefore, we have

$$n_{\text{dot}} = \frac{N_{\mathcal{L}}}{3N^2}. \quad (103)$$

Combining the last two equations we derive

$$\gamma = \frac{1}{3\sqrt{2N}}. \quad (104)$$

D. Single-electron state labeling

It appears that for a pair of integer numbers, n and m , there is a unique single-electron state. This statement is incorrect: not every choice of n, m is allowed (for example, if $n=m=0$, then the corresponding wave function is exactly zero), and not every wave function is unique (for example, if we rotate \mathbf{k}_1 by $2\pi/3$ we recover the same state).

It is necessary to introduce a scheme that uniquely labels every and any quantum state. The most natural way of devising such a scheme is to describe the allowed values of $\mathbf{k}^{n,m}$, or, equivalently, of n and m .

Specifying the allowed $\mathbf{k}^{n,m}$, it quickly becomes obvious that the symmetric properties of the sextet are important. Therefore, it is convenient to define the sextet's symmetry group \tilde{G} . It is isomorphic to C_{3v} : it consists of $\pm 2\pi/3$ rotations around the origin and reflections about lines $k_y=0, k_y = \pm\sqrt{3}k_x$. Although, \tilde{G} is isomorphic to the TQD's geometrical symmetry group G , they are not identical: the reflection axes of G do not coincide with those of \tilde{G} .

Developing this labeling system, one has to abide by the following restrictions: (i) if $\mathbf{k}^{n',m'} = U\mathbf{k}^{n,m}$, where $U \in \tilde{G}$, then there exists a real number ϕ , such that $\Psi^{n',m'} = e^{i\phi}\Psi^{n,m}$; (ii) $\mathbf{k}^{n,m}$ must lie within the graphene Brillouin zone; (iii) any vector $\mathbf{k}^{n,m}$, such that $k_y^{n,m}=0$ or $k_y^{n,m} = \pm\sqrt{3}k_x^{n,m}$, is disallowed: in this case the corresponding wave function vanishes identically [see discussion after Eq. (25)]; (iv) there is no state when $\mathbf{k}^{n,m}=0$ and when $\mathbf{k}^{n,m}$ is the location of the Dirac cone's apex; (v) if

$$U\mathbf{k}^{n',m'} - \mathbf{k}^{n,m} = \mathbf{d}, \quad U \in \tilde{G}, \quad (105)$$

where \mathbf{d} is the reciprocal-lattice vector, then $\Psi^{n',m'} = e^{i\phi}\Psi^{n,m}$.

Keeping these conditions in mind let us consider the following values for n and m

$$n \geq 1, \quad (106)$$

$$m \geq 1, \quad (107)$$

$$\mathbf{k}^{n,m} \in \text{BZ}, \quad (108)$$

$$\mathbf{k}^{n,m} \neq (0, 4\pi/(3\sqrt{3}a_0)). \quad (109)$$

Here "BZ" stands for "Brillouin zone." The allowed vectors $\mathbf{k}^{n,m}$ lie within the white polygon of Fig. 3. For $N=5$ these vectors are shown in Fig. 8.

Observe that the condition (i) is met: indeed, any two allowed vectors, $\mathbf{k}^{n,m}$ and $\mathbf{k}^{n',m'}$, $\mathbf{k}^{n',m'} \neq \mathbf{k}^{n,m}$, cannot be con-

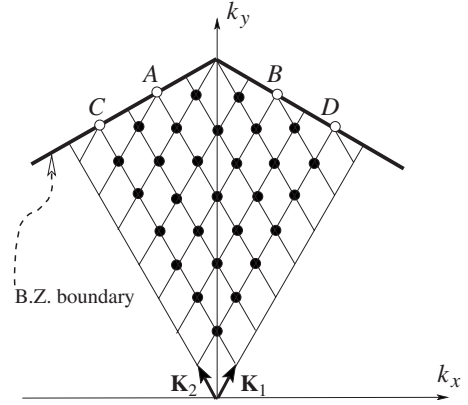


FIG. 8. The allowed values of $\mathbf{k}^{n,m}$ occupy the sector $\sqrt{3}|k_x| < k_y$, of the graphene Brillouin zone (this sector is drawn in white in Fig. 3). Every filled circle represents a state. Points A and B (open circles near the top) correspond to the same state. This is also true for C and D, see Sec. V D. The thick solid line at the top of the figure is the Brillouin-zone boundary.

nected by \tilde{G} transformations. Conditions (ii)–(iv) are explicitly satisfied.

As for condition (v), it is necessary to realize that it is relevant only if $\mathbf{k}^{n,m}, \mathbf{k}^{n',m'}$ lie on the zone's boundary. Otherwise, either $\mathbf{k}^{n,m}$ or $\mathbf{k}^{n',m'}$, is outside of the zone. One can demonstrate that, to satisfy Eq. (105), the equality $k_x^{n,m} = -k_x^{n',m'}$ must hold. Thus, in Fig. 8, points A and B (open circles) correspond to the equivalent states. The same is true about C and D.

Finally, we want to count the total number of allowed states. It is convenient to group the TQD states as shown in Fig. 9. That way they form an arithmetic progression: three states in the first group, six states in the second group (five states inside the Brillouin zone and one state at the zone's boundary), nine states in the third group, etc. There are $(N-1)$ terms in this progression. The sum of all terms, from the first to the $(N-1)$ th is equal to

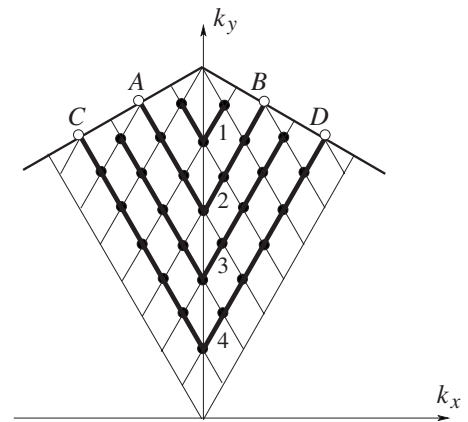


FIG. 9. Counting the quantum states of a TQD. The thick solid V-shaped lines show how we group our states to form an arithmetic progression. Numbers from 1 to 4 enumerate terms of the progression. Note that each pair of open circles (points A and B; C and D) counts as one state, see Sec. V D.

$$\frac{3}{2}N(N-1). \quad (110)$$

Since for every $\mathbf{k}^{n,m}$ there are two states, $\Psi_{\mathbf{R}^+}^{n,m}$ and $\Psi_{\mathbf{R}^-}^{n,m}$, the above value has to be doubled. Therefore, the total number of states is equal to

$$N_{\text{states}} = 3N(N-1). \quad (111)$$

We can see that $N_{\text{states}} = N_a$. This means that our labeling scheme is exhaustive; that is, there are no states unaccounted by it.

Closing this section, let us provide a less technical explanation for why only a small, asymmetric portion of the Brillouin zone (white polygon of Fig. 3) is filled by the allowed states. Briefly, this is a consequence of the TQD's symmetry group: note that C_{3v} transformations, when acting on the white tetragon of Fig. 3, cover the entire Brillouin zone. A more detailed explanation would be the following one. Consider now the wave function Eq. (48), which is a sum of six plane waves. On an infinite lattice, all six plane waves are linearly independent solutions of the Schrödinger equations. On a dot, however, we discard five of all six linearly independent combinations, because these five do not satisfy the boundary conditions (51)–(54). This is why we need only 1/6-th of the Brillouin zone to encode a TQD's state. Yet another explanation for why the allowed states reside in the small portion of the Brillouin zone may be formulated with the help of the sextet concept. Consider our sextet in Fig. 1. To specify the whole sextet, it is enough to specify a single vector. All other vectors can be obtained from the first one by applying different C_{3v} transformations to it. Note further that the white polygon in Fig. 3 always contains one and only one vector of the sextet. (Indeed, choosing any vector inside the Brillouin zone one can, by applying the C_{3v} transformations, move this arbitrary vector inside the white polygon. Therefore, at least one vector of the sextet is inside the polygon. Two vectors of the sextet cannot lie inside the polygon, for in that case we could map one of these two vectors on the second vector with the help of the C_{3v} transformation; however, a direct application of C_{3v} to the white polygon shows that C_{3v} transformations map it on yet another polygon, which has no common points with the original polygon.) Thus, if we specify a vector inside the white polygon, we uniquely specify a sextet, and, therefore, an electron wave function.

VI. CORRECTIONS DUE TO EDGE BOND DEFORMATIONS

In this section we apply the solution of the Schrödinger equation for a TQD to calculate the correction to the single-electron levels due to the deformation of the carbon-carbon bonds at the edges of the TQD. The edge bonds deformation is known to appear at the edges of graphene nanoribbons.⁷⁻⁹ The deformation is not specific to nanoribbons. Rather, it is a response of a carbon-carbon bond to an atypical location (in this case, at the edge versus bulk). Thus, it is likely that such deformation would be present at the edges on a TQD, should this device be realized experimentally.

Our previous calculations completely disregard the edge deformation. Fortunately, since the deformation is weak and since the number of deformed bonds is much smaller than the number of undeformed bonds in a sufficiently large TQD, such modification of the original problem can be accounted within the framework of perturbation theory. Below we show how the deformation of the edge bonds affects the single-electron eigenenergies.

At the Hamiltonian level, we now assume that the hopping amplitude across the deformed bond t_d deviates from t ,⁸

$$t_d = t + \delta t. \quad (112)$$

The locations of the deformed bonds are shown in Fig. 4 by thick solid lines.

The Hamiltonian correction due to edge deformations is

$$\delta H = \delta H_{\text{lower edge}} + \delta H_{\text{left edge}} + \delta H_{\text{right edge}}, \quad (113)$$

where the three terms on the right-hand side of the equation correspond to the three edges of the dot.

Let us first discuss the effect due to $\delta H_{\text{lower edge}}$. The deformed bonds at the lower edge connect two atoms within the same primitive cell. These cells' positions are [see Eq. (27)]

$$\mathbf{R}_l = a_0(1/2 + 3l, \sqrt{3}/2), \quad (114)$$

$$1 \leq l \leq N-1, \quad l - \text{integer}. \quad (115)$$

The matrix element between two arbitrary states $\Psi_{\mathbf{R}^+}$ and $\Phi_{\mathbf{R}^+}$ is equal to

$$M = \langle \Phi_+ | \delta H_{\text{lower edge}} | \Psi_+ \rangle = -\delta t \sum_{l=1}^{N-1} \Phi_{\mathbf{R}_l^+}^* \sigma_x \Psi_{\mathbf{R}_l^+}. \quad (116)$$

When $\Phi_{\mathbf{R}^+} = \Psi_{\mathbf{R}^+}$, the matrix element is

$$M = \delta t \gamma^2 \sum_{\alpha, \alpha'=1}^6 (-1)^{\alpha+\alpha'} [\exp(-i\theta_{\mathbf{k}_\alpha}) + \exp(i\theta_{\mathbf{k}_{\alpha'}})] \times \sum_{l=1}^{N-1} \exp[-i(\mathbf{k}_\alpha - \mathbf{k}_{\alpha'}) \cdot \mathbf{R}_l]. \quad (117)$$

We can evaluate the sum over l

$$\begin{aligned} & \sum_{l=1}^{N-1} \exp[-i(\mathbf{k}_\alpha - \mathbf{k}_{\alpha'}) \cdot \mathbf{R}_l] \\ &= \exp \left[-\frac{i}{2}(k_{x\alpha} - k_{x\alpha'})a_0 - \frac{\sqrt{3}i}{2}(k_{y\alpha} - k_{y\alpha'})a_0 \right] \\ & \quad \times \sum_{l=1}^{N-1} \exp[-3i(k_{x\alpha} - k_{x\alpha'})a_0 l]. \end{aligned} \quad (118)$$

The sum of the geometric series

$$\begin{aligned} & \sum_{l=1}^{N-1} \exp[-3i(k_{x\alpha} - k_{x\alpha'})a_0l] \\ &= \frac{\exp[-3iN(k_{x\alpha} - k_{x\alpha'})a_0] - \exp[-3i(k_{x\alpha} - k_{x\alpha'})a_0]}{\exp[-3i(k_{x\alpha} - k_{x\alpha'})a_0] - 1}. \end{aligned} \quad (119)$$

Depending on α and α' , the quantity $(k_{x\alpha} - k_{x\alpha'})$ is equal to

$$k_{x\alpha} - k_{x\alpha'} = \begin{cases} 0 \\ \pm \left(\frac{3}{2}k_x \pm \frac{\sqrt{3}}{2}k_y \right) \\ \pm \sqrt{3}k_y. \end{cases} \quad (120)$$

Thus, with the help of the condition Eq. (75) we can write

$$3N(k_{x\alpha} - k_{x\alpha'})a_0 = \begin{cases} 0 \\ \pm \pi(n - m) \pm \pi(n + m) \\ \pm 2\pi(n + m). \end{cases} \quad (121)$$

Therefore, for any α and α' it holds that

$$\exp[-3iN(k_{x\alpha} - k_{x\alpha'})a_0] = 1 \quad (122)$$

$$\begin{aligned} & \Rightarrow \sum_{l=1}^{N-1} \exp[-3i(k_{x\alpha} - k_{x\alpha'})a_0l] \\ &= \begin{cases} -1 & \text{for } (k_{x\alpha} - k_{x\alpha'}) \neq 0 \\ N-1 & \text{for } (k_{x\alpha} - k_{x\alpha'}) = 0. \end{cases} \end{aligned} \quad (123)$$

Expressing the last formula differently, one writes,

$$\sum_{l=1}^{N-1} \exp[-3i(k_{x\alpha} - k_{x\alpha'})a_0l] = -1 + N\delta_{k_{x\alpha}, k_{x\alpha'}}. \quad (124)$$

The matrix element M can be written as a sum

$$M = M_0 + NM_1, \quad (125)$$

where the M_0 term corresponds to -1 in the right-hand side of Eq. (124), and NM_1 terms corresponds to the Kronecker delta there. For M_1 we obtain

$$M_1 = \delta t \gamma^2 \sum_{\alpha=1}^6 2 \cos \theta_{\mathbf{k}_\alpha} [1 - \cos(\sqrt{3}k_{y\alpha}a_0)]. \quad (126)$$

The first term in the brackets corresponds to summands for which α' is such that $k_{x\alpha} = k_{x\alpha'}$ and $k_{y\alpha} = k_{y\alpha'}$. The second term corresponds to summands for which α' is such that $k_{x\alpha} = k_{x\alpha'}$ and $k_{y\alpha} = -k_{y\alpha'}$.

To evaluate the sum $\sum_{\alpha} \cos \theta$, it is convenient to use Eqs. (40) and (41)

$$\sum_{\alpha=1}^6 2 \cos \theta_{\mathbf{k}_\alpha} = \sum_{\alpha=1}^6 \frac{t_{\mathbf{k}_\alpha} + t_{\mathbf{k}_\alpha}^*}{\varepsilon_{\mathbf{k}_{\alpha^+}}}. \quad (127)$$

Since the energy is independent of the index α : $\varepsilon_{\mathbf{k}_{\alpha^+}} = \varepsilon_{\mathbf{k}_+}$, one can write the following expression for this sum

$$\begin{aligned} & \sum_{\alpha=1}^6 2 \cos \theta_{\mathbf{k}_\alpha} \\ &= \frac{4t}{\varepsilon_{\mathbf{k}_+}} \sum_{\alpha=1,3,5} \left[1 + 2 \cos\left(\frac{3k_{x\alpha}a_0}{2}\right) \cos\left(\frac{\sqrt{3}k_{y\alpha}a_0}{2}\right) \right]. \end{aligned} \quad (128)$$

Substituting the formulas for $\mathbf{k}_{1,3,5}$, Eqs. (6), (10), and (12), into Eq. (128) one obtains

$$\sum_{\alpha=1}^6 2 \cos \theta_{\mathbf{k}_\alpha} = \frac{4\varepsilon_{\mathbf{k}_+}}{t}. \quad (129)$$

The calculation of the second term of Eq. (126) is performed along the same lines. The result is

$$\begin{aligned} & \sum_{\alpha=1}^6 2 \cos \theta_{\mathbf{k}_\alpha} \cos(\sqrt{3}k_{y\alpha}a_0) \\ &= \frac{4\varepsilon_{\mathbf{k}_+}}{t} + \frac{4t}{\varepsilon_{\mathbf{k}_+}} \left[\cos(3k_x a_0) \right. \\ & \quad \left. + 2 \cos\left(\frac{3}{2}k_x a_0\right) \cos\left(\frac{3\sqrt{3}}{2}k_y a_0\right) - 3 \right]. \end{aligned} \quad (130)$$

Therefore, we can express M_1 as follows

$$\begin{aligned} M_1 &= -\frac{4\gamma^2 t \delta t}{\varepsilon_{\mathbf{k}_+}} \left[\cos(3k_x a_0) \right. \\ & \quad \left. + 2 \cos\left(\frac{3}{2}k_x a_0\right) \cos\left(\frac{3\sqrt{3}}{2}k_y a_0\right) - 3 \right] \\ &= \frac{2\gamma^2 t \delta t}{\varepsilon_{\mathbf{k}_+}} [6 - F(\sqrt{3}\tilde{\mathbf{k}})], \end{aligned} \quad (131)$$

where $\tilde{\mathbf{k}} = (k_y, k_x)$ and the function F is defined by Eq. (42).

The evaluation of M_0 from Eq. (125) is easy to perform

$$\begin{aligned} M_0 &= -\delta t \gamma^2 \sum_{\alpha, \alpha'=1}^6 (-1)^{\alpha+\alpha'} \\ & \quad \times \exp\left[-\frac{i}{2}(k_{x\alpha} - k_{x\alpha'})a_0 - \frac{\sqrt{3}i}{2}(k_{y\alpha} - k_{y\alpha'})a_0\right] \\ & \quad \times [\exp(-i\theta_{\mathbf{k}_\alpha}) + \exp(i\theta_{\mathbf{k}_{\alpha'}})] \\ &= -\delta t \gamma^2 \sum_{\alpha=1}^6 (-1)^\alpha \exp\left(-\frac{i}{2}k_{x\alpha}a_0 - \frac{\sqrt{3}i}{2}k_{y\alpha}a_0\right) \\ & \quad \times \exp(-i\theta_{\mathbf{k}_\alpha}) \sum_{\alpha'=1}^6 (-1)^{\alpha'} \exp\left(\frac{i}{2}k_{x\alpha'}a_0 + \frac{\sqrt{3}i}{2}k_{y\alpha'}a_0\right) \\ & \quad + \text{c.c.}, \end{aligned} \quad (132)$$

where "c.c." stands for the complex-conjugated terms.

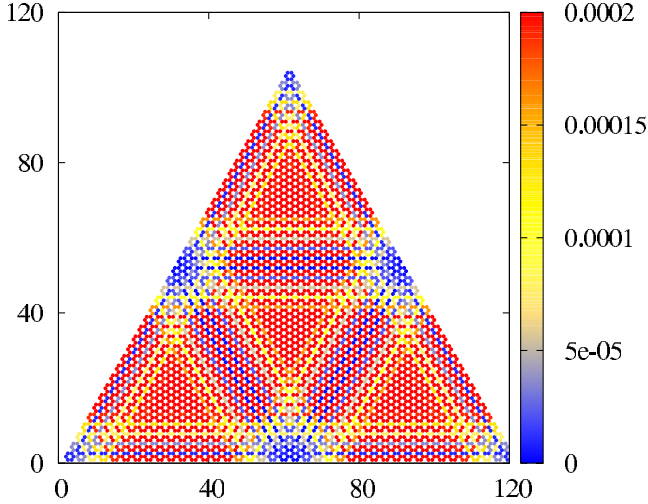


FIG. 10. (Color online) Probability density for the state with $n=39$ and $m=41$ for a triangular graphene dot. The dot's effective size, $L=3Na_0$, is fixed by the value of the constant $N=41$. The total number of atoms in such a dot is $N_a=4920$. The probability density for the same state is presented in Fig. 4(a) of Ref. 14.

The sum over α' in Eq. (132) is zero. To prove this let us rewrite it,

$$\begin{aligned} & \sum_{\alpha'=1}^6 (-1)^{\alpha'} \exp\left(\frac{i}{2}k_{x\alpha'}a_0 + \frac{\sqrt{3}i}{2}k_{y\alpha'}a_0\right) \\ &= \sum_{\alpha'=2,4,6} \exp(i\mathbf{a}_0\mathbf{u}\cdot\mathbf{k}_{\alpha'}) - \sum_{\alpha'=1,3,5} \exp(i\mathbf{a}_0\mathbf{u}\cdot\mathbf{k}_{\alpha'}). \end{aligned} \quad (133)$$

Examining Fig. 1 it becomes obvious that $\mathbf{u}\cdot\mathbf{k}_1=\mathbf{u}\cdot\mathbf{k}_6$, $\mathbf{u}\cdot\mathbf{k}_3=\mathbf{u}\cdot\mathbf{k}_4$, and $\mathbf{u}\cdot\mathbf{k}_2=\mathbf{u}\cdot\mathbf{k}_5$. This implies that both terms on the right-hand side of Eq. (133) are equal, and they cancel each other exactly.

Combining the above results, we write for M

$$M = \frac{2\gamma^2 N t \delta t}{\varepsilon_{\mathbf{k}+}} [6 - F(\sqrt{3}\tilde{\mathbf{k}})]. \quad (134)$$

This expression gives the matrix element for the operator corresponding to the bond deformations at the lower edge of the TQD. The matrix element for the bond deformations at all three edges is equal to $3M$

$$\delta H_+^{n,m} = \langle \Psi_+^{n,m} | \delta H | \Psi_+^{n,m} \rangle = \frac{t \delta t}{3N \varepsilon_{\mathbf{k}+}} [6 - F(\sqrt{3}\tilde{\mathbf{k}})]. \quad (135)$$

The formula above can be generalized

$$\delta H_{\pm}^{n,m} = \langle \Psi_{\pm}^{n,m} | \delta H | \Psi_{\pm}^{n,m} \rangle = \pm \frac{\delta t}{3N} \frac{6 - F(\sqrt{3}\tilde{\mathbf{k}})}{\sqrt{3 + F(\mathbf{k})}}, \quad (136)$$

to account for the states with negative energies.

To evaluate first-order corrections to the eigenenergies due to δH it is necessary to find not only the diagonal elements $\delta H_{\pm}^{n,m}$, but the off-diagonal elements, connecting the degenerate states, as well. In our case, two wave functions

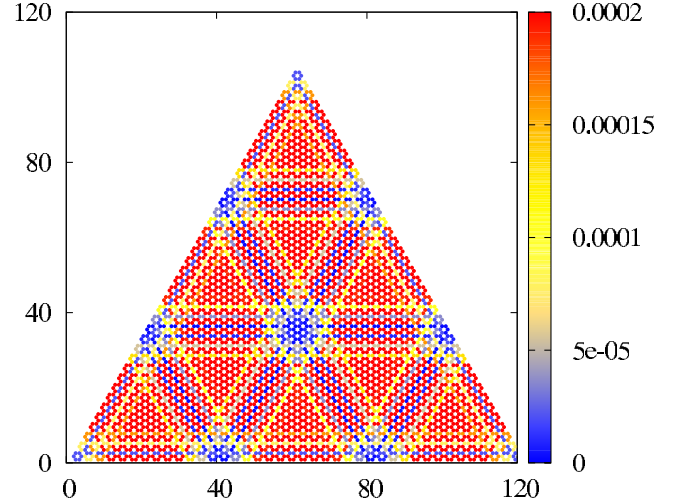


FIG. 11. (Color online) Probability density for the state with $n=38$ and $m=41$ for a triangular graphene dot. The dot's effective size, $L=3Na_0$, is fixed by the value of constant $N=41$. The total number of atoms in such a dot is $N_a=4920$. The probability density for the same state is presented in Fig. 4(c) of Ref. 14.

$\Psi^{n,m}$ and $\Psi^{m,n}$ correspond to degenerate states, unless $n=m$, or the vector $\mathbf{k}^{n,m}$ lies on the Brillouin-zone boundary. However, the element $\langle \Psi^{n,m} | \delta H | \Psi^{m,n} \rangle$ vanishes. Indeed, δH is invariant under transformations from G and, therefore, the matrix element is nonzero only if both states transform identically under G . The latter condition is never fulfilled, for degenerate wave functions either acquire different phase factors upon the rotations, Eq. (85), or they transform differently when subjected to reflections, Eqs. (96) and (97).

The above considerations show that the correction to the eigenenergies are given by Eq. (136). Let us discuss this expression.

First of all, we notice that $[6 - F(\sqrt{3}\tilde{\mathbf{k}})] / \sqrt{3 + F(\mathbf{k})}$ is positive. Therefore, the sign of the correction is determined by the sign of $\pm \delta t$. Second, since F is an even function of its arguments, the degeneracy between $\Psi^{n,m}$ and $\Psi^{m,n}$ remains.

Third, the larger the dot, the smaller the correction: the characteristic energy scale for the correction is $\delta t/N$, which decreases when N grows. This is natural since the ratio of the deformed bonds ($\sim N$) to the total number of bonds in a TQD ($\sim N^2$) decreases when the dot increases.

VII. DISCUSSION

In this paper we find the exact spectrum of a graphene TQD with armchair edges. Certain matrix elements are evaluated with the help of our wave functions. Thus, our solution may be used for perturbation-theory calculations, e.g., for weak magnetic field, disorder.

The problem of the electronic properties of graphene TQD is addressed numerically in several papers (e.g., Refs. 12–14). To show that our analytical approach agrees with numerical solutions, we calculated the probability density for the states with (a) $n=39$, $m=41$ (Fig. 10), and (b) $n=38$, $m=41$ (Fig. 11), both for a TQD with $N=41$. There are N_a

=4920 atoms in such a TQD. The eigenenergies of states (a) and (b) are close to zero.

These states are chosen here because their probability distributions are mapped in Ref. 14. Comparing Fig. 4(a) of the latter reference and our Fig. 10 we see that the probability density distributions are similar. The same is true about Fig. 4(c) of Ref. 14 and our Fig. 11.

To conclude, generalizing the existing solution, we find the exact wave functions and eigenenergies for an electron inside a graphene TQD. The symmetry properties of our wave functions are determined. As an application, the corrections to the eigenenergies due to the edge bonds' deforma-

tions are calculated. We also demonstrate that our exact solution is in agreement with previous numerical work.

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